



NOTICE TO DEPARTMENT OF FISHERIES AND OCEANS OF A FINANCIAL ARRANGEMENT WITH AN ELIGIBLE LENDER

I, _____ of _____ ,
(Name of Designated Representative of the Company) (Name of City / Town)

_____, a duly authorised representative of _____
(Province) (Legal Name of Company Holding Licence(s))

hereby notify Fisheries and Oceans Canada (DFO) that _____,
(Legal Name of Company Holding Licence(s))

as holder of licence(s) _____
(Licence Number(s) and Species)

(Licence Number(s) and Species)

have entered into an arrangement with _____, on
(Name of Eligible Lender)

_____, at _____,
(Date – DD/MM/YYYY) (Full Address of Eligible lender, Number, Street Name, City, Province and Postal Code)

_____, _____, _____
(Phone Number) (Fax Number) (Email Address of Eligible Lender)

(Printed Full Name of Eligible Lending Agent)

I advise that there is no co-signor, guarantor or other surety involved in the financial arrangement with the lender who has any control or influence over any of the rights and privileges so conferred to the company under the above-mentioned licence(s).

Should the aforementioned company, submit a request to DFO for any of the following reasons related to the above-mentioned licence(s):

- Licence Reissuance (known as Nomination in Pacific Region)
- Permanent Quota Transfer ¹
- Permanent Gear Transfer
- Enterprise Combining, Licence Combining or Harvest Benefit Combining
- Request for Removal of Notice on file
- Vessel Replacement (Pacific Region only)
- Notice of Change of Ownership (Pacific Region only)

I, on behalf of the company, hereby undertake to provide to DFO, at the time of making the request, a duly signed Acknowledgement of Request for Licence activity signed by a duly authorized representative of

(Name of Eligible Lender)

An eligible lender is a Recognized Financial Institution (RFI), third party (Non- Recognized Financial Institutions (Non-RFI), Community Development Board (CDB) or Community Development Organization (CDO)) as defined under the DFO Notice and Acknowledgement system (NAS) . [Notice and Acknowledgement System \(mpo-dfo.gc.ca\)](http://mpo-dfo.gc.ca).

The information provided to DFO will be retained in the Personal Information Bank DFO PPU 010. Under the provisions of the *Privacy Act* and the *Access to Information Act*, individuals have the right to the protection of, and access to, their personal information. Instructions for obtaining this information are outlined in the government publication entitled Info Source, a copy of which can be obtained at your local Fisheries and Oceans Canada Office. Info Source is also available on the web at <http://infosource.gc.ca>.

¹ This does not include a request for modification of quota within a single fishing season.



DECLARATION OF CONSENT

I understand that the Fisheries and Oceans Canada (DFO) may require access to information held by the above named eligible lender for administration, evaluation, and review of the licence(s) the company holds and/or the licences for which the company is applying. I further recognize that the eligible lender may require access to information associated with the licence(s) specified above.

I, as an individual who is permitted to sign on behalf of the company, authorize _____
(Printed full Name of Eligible Lender)
to release to DFO any and all relevant information related to the fishing licence(s) the company holds and/or for which the company is applying, including any financial arrangement with the eligible lender to which the company is a party of. This information is released solely for the administration, evaluation and eligibility assessment review of the licence(s).

In addition, I as an individual who is permitted to sign on behalf of the company, authorize DFO to release to the eligible lender above and any other lenders for which the company has filed a "Notice", any and all relevant information on any fishing licence(s) related to these Notices, including non-payment of any required licence fees and failure to apply to "renew" any of the licence(s).

Signature of Designated representative of the Company (I have authority to bind the company) _____
Date

Dated this _____ day of , _____ 20 _____

_____ (Printed full name of Designated Representative of the Company)	_____ Signature of Designated Representative of the Company (I have authority to bind the company)
_____ (Printed full Name of the Witness)	_____ (Witness Signature)
_____ (Printed full name of Authorized Agent of the Eligible Lender)	_____ (Signature of Authorized Agent of the Eligible Lender)
_____ (Printed full name of the Witness)	_____ (Witness Signature)

Acknowledgement of receipt by Fisheries and Oceans Canada

Printed full name of Licensing Officer _____
Signature of Licensing Officer _____
Date Received